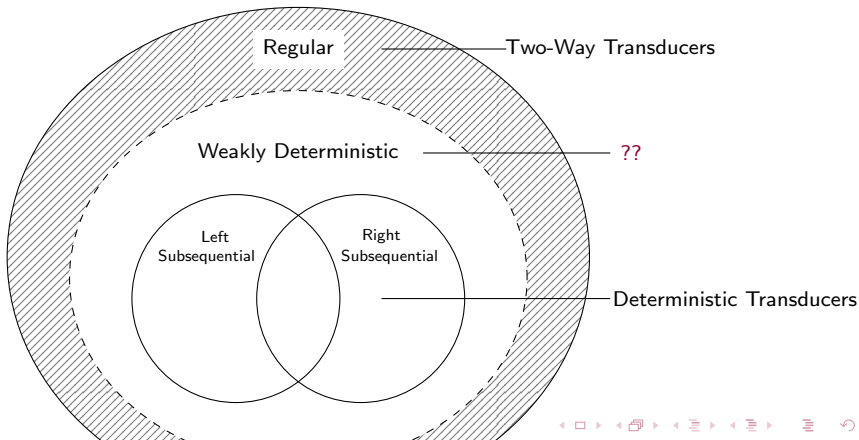


Phonology and Complexity

Phonological patterns are regular [Joh72, KK94].
But, we do not need the full expressivity of the Regular class to describe phonology. Most patterns are **subregular**.



Structure of Talk

- Weak Determinism; Empirical Motivations
- Logical Characterization; 'Simultaneous Application'
- Sour Grapes is not Weakly Deterministic
- Conclusion

Subsequential

(1) Sibilant harmony in Ineseño Chumash

a. /s-api-tʃ^ho-us-waʃ/
[ʃ-api-tʃ^ho-uʃ-waʃ]

‘He had a stroke of good luck’

b. /s-ij-tiji-jep-us/
[s-is-tisi-jep-us]

‘They (two) show him’

(1) is *right subsequential*:

- [Deterministic] The *rightmost* sibilant determines the anteriority of all sibilants in a word.
- [Unbounded] The sibilant undergoing harmony can be separated from the rightmost one by any number of morphemes.

Beyond Subsequential

(2) Stem-Controlled ATR Harmony in Akan

a. [-ATR] Root

ɔ-tsɪrɛ-ɪ

'3S-show-3S.OBJ'

ɔ-bɛ-tɔ-ɪ

'3S-FUT-throw-3S.OBJ'

b. [+ATR] Root

o-fiti-i

'3S-pierce-3S.OBJ'

o-be-tu-i

'3S-FUT-dig-3S.OBJ'

When determining the ATR value for the vowels:

- Vowels in suffixes depend on information to the left
- Vowels in prefixes depend on information to the right

Beyond Subsequential

(3) LHOL Stress in Lushootseed

a. LHLHL \mapsto L[́]HLHL

b. HHHHH \mapsto [́]H HHHH

c. LLLL \mapsto [́]LLLL

Similar observations can be made about the stress pattern in (3).

When determining whether a syllable is stressed:

- Heavy syllables depend on information to the left
- (Initial) light syllables depend on information to the right

Phonology and Complexity

(2) and (3) are notably different from the Sour Grapes pathology:

(4) Sour Grapes

a. + - - - - \mapsto + + + + +

b. + - - - □ \mapsto + - - - □

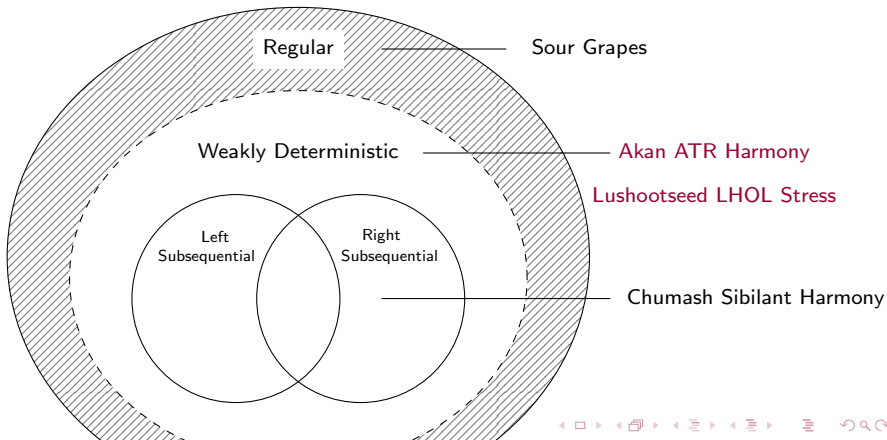
c. - - - - - \mapsto - - - - -

(4) is unbounded circumambient [Jar16]:

- [Circumambient] Every input segment needs information about a trigger to the left and a blocker to the right.
- [Unbounded] There is no finite bound on how far the trigger and blocker can be from the segment undoing change.

Phonology and Complexity

Stem-controlled harmony and LHOL provide linguistic motivation for class of functions between subsequential and fully-regular, referred to as **'weakly deterministic'** [Hei18, KJ20].



Why do we care?

- 1 'Weakly deterministic' functions are linguistically meaningful.
 - The Weak Determinism Hypothesis [Hei18]
- 2 We do not have an agreed-upon formal definition of what constitutes a 'weakly deterministic' function.
 - Previous proposals: [HL13, MBMM18, MMBM21]
- 3 We do not have a definition that allows us to reason about what **isn't** weakly deterministic.
 - Conjectured that SG is not be Weakly Deterministic [HL13]

This talk addresses the points in (2) and (3) by providing such a definition and demonstration that Sour Grapes is outside the Weakly Deterministic boundary.

What makes a function Weakly Deterministic?

Towards a Logical Characterization

All regular functions can be expressed as the composition of a left and right subsequential function [EM65].

What distinguishes the weakly deterministic compositions?

We have two related notions of what makes a composition WD:

- Non-Interacting: [MBMM18]
- **Disjunctive**: The output value of every segment depends either on a left or a right subsequential function.

Both of these concepts are captured by the ‘simultaneous application’ operator introduced in this talk.

What makes a function Weakly Deterministic?

Simultaneous Application

$$\begin{cases} f : a \rightarrow b / b_ \\ g : a \rightarrow b / _ b \end{cases}$$

x	a	b	a
$g(x)$	$g \downarrow$ b	b	a
$(f \circ g)(x)$	b	b	$f \downarrow$ b

Table: Composition of f and g

x	a	b	a
$(f \bullet g)(x)$	$g \downarrow$ b	$b \dots$	$f \downarrow$ b

Table: Simultaneous application of f and g

What makes a function Weakly Deterministic?

Simultaneous Application

Simultaneous application is the **disjunctive** application of two **non-interacting** functions:

- For every x in the input, if either f or g changes the value of x , the simultaneous $f \bullet g$ is the result of applying that change.

The idea: A function is weakly deterministic iff it can be expressed as the simultaneous application of a LS and a RS function.

This idea, when implemented in BMRS, gives us a **logical characterization** of weak determinism that

- 1 Matches the formal definition to the informal intention, and
- 2 Distinguishes weakly deterministic from non-deterministic ones

Boolean Monadic Recursive Schemes (BMRS)

BMRS is an abstract programming language that is used to model phonological patterns. See [CJ21].

Three key aspects of BMRS are relevant for this talk:

- 1 [Monadic Predicates] Input and output predicates over some alphabet are used to represent the function being modeled.
- 2 [If-then-else Expressions] The output for a string is determined by evaluating if-then-else statements.
- 3 [Predecessor and Successor] Functions over elements in the string that allow us to view information to the left and right.

A Quick Example

Word-final obstruent devoicing is modeled with the following BMRS expression (adapted from [CJ21]).

$$[voi]_o(x) = \text{if } \neg[son]_i(x) \wedge \times_i(s(x)) \text{ then } \perp \text{ else } [voi]_i(x)$$

	×	b	æ	d	×
	1	2	3	4	5
$[son]_i(x)$	⊥	⊥	⊤	⊥	⊥
$[voi]_i(x)$	⊥	⊤	⊤	⊤	⊥
$[voi]_o(x)$	⊥	⊤	⊤	⊥	⊥
	×	b	æ	t	×

BMRS-Definition of Simultaneous Application

For every x in the input, if either P^f or P^g flips the truth value, then the simultaneous application $P^{f \bullet g}$ flips the truth value.

$P(x)$	$P^f(x)$	$P^g(x)$	$P^{f \bullet g}(x)$
T	T	T	T
T	T	⊥	⊥
T	⊥	T	⊥
T	⊥	⊥	⊥
⊥	T	T	T
⊥	T	⊥	T
⊥	⊥	T	T
⊥	⊥	⊥	⊥

$$P^{f \bullet g}(x) = \text{if } P(x) \text{ then } (P^f(x) \wedge P^g(x)) \text{ else } (P^f(x) \vee P^g(x))$$

BMRS-Definition of Simultaneous Application

$$\begin{cases} f : a \rightarrow b / b_ \\ g : a \rightarrow b / _b \end{cases}$$

	a	b	a
$a(x)$	⊤	⊥	⊤
$b(x)$	⊥	⊤	⊥
$a^f(x)$	⊤	⊥	⊥
$b^f(x)$	⊥	⊤	⊤
$a^g(x)$	⊥	⊥	⊤
$b^g(x)$	⊤	⊤	⊥
$a^{f \bullet g}(x)$	⊥	⊥	⊤
$b^{f \bullet g}(x)$	⊤	⊤	⊥
	b	b	b

BMRS Definition of Weakly Deterministic

A function is weakly deterministic iff it can be modeled as the simultaneous application of two BMRS programs L and R where:

- L only uses predecessor
- R only uses successor

This definition is a BMRS implementation of the idea that WD functions can be decomposed into non-interacting left and right subsequential functions.

Case Study: LHOL Stress

(3) LHOL Stress in Lushootseed

a. LHLHL \mapsto L[́]HLHL

b. HHHHH \mapsto [́]HHHHH

c. LLLL \mapsto [́]LLLL

For simplicity, we assume the following exist:

- 1 NoH-L(x) = \top iff there are no Hs to the left of x
- 2 NoH-R(x) = \top iff there are no Hs to the right of x

Decomposing LHOL

LHOL can be decomposed into the following two functions:

- 1 [Left Subsequential] Stress a heavy syllables if there are no other heavy syllables to the **left** of it.

$$\begin{aligned}\text{STRESS}^L(x) &= \text{if } H(x) \text{ then NOH-L}(x) \text{ else } \perp \\ &\equiv H(x) \wedge \text{NOH-L}(x)\end{aligned}$$

- 2 [Right Subsequential] Stress an initial light syllable if there are no heavy syllables to the **right** of it.

$$\text{STRESS}^R(x) = L(x) \wedge \text{INITIAL}(x) \wedge \text{NOH-R}(x)$$

Simultaneous Application

The stress-assigning function for LHOL can be modeled by the simultaneous application of STRESS^L and STRESS^R .

In fact, the definition of simultaneous application simplifies to:

$$\text{STRESS}^L \bullet \text{STRESS}^R = \text{STRESS}^L \vee \text{STRESS}^R$$

Examples

	L	L	L	L
NoH-L(x)	T	T	T	T
NoH-R(x)	T	T	T	T
STRESS ^L (x)	⊥	⊥	⊥	⊥
STRESS ^R (x)	T	⊥	⊥	⊥
STRESS ^{L•R} (x)	T	⊥	⊥	⊥
	L	L	L	L

	L	H	L	L	H
NoH-L(x)	T	T	⊥	⊥	⊥
NoH-R(x)	⊥	⊥	⊥	⊥	T
STRESS ^L (x)	⊥	T	⊥	⊥	⊥
STRESS ^R (x)	⊥	⊥	⊥	⊥	⊥
STRESS ^{L•R} (x)	⊥	T	⊥	⊥	⊥
	L	H	L	L	H

Sour Grapes is not Weakly Deterministic

Consider a particular feature F that is spreading and the following three data points where $n, m \geq 0$.

$$\begin{array}{ll}
 \text{(a)} & +(-)^n - (-)^m \quad \mapsto \quad +(+)^n + (+)^m \\
 \text{(b)} & +(-)^n - (-)^m \boxminus \quad \mapsto \quad +(-)^n - (-)^m \boxminus \\
 \text{(c)} & -(-)^n - (-)^m \quad \mapsto \quad -(-)^n - (-)^m
 \end{array}$$

Assume SG is weakly deterministic.

Then there must be two expressions F^L and F^R such that

- F^L only uses predecessor
- F^R only uses successor
- $F^{L \bullet R}$ models the input-output relations in (a)–(c).

Sour Grapes is not Weakly Deterministic

Let x_a , x_b , and x_c refer to the elements highlighted in red.

- $$\begin{aligned} \text{(a)} \quad & +(-)^n - (-)^m \quad \mapsto \quad +(+)^n + (+)^m \\ \text{(b)} \quad & +(-)^n - (-)^m \boxminus \quad \mapsto \quad +(-)^n - (-)^m \boxminus \\ \text{(c)} \quad & -(-)^n - (-)^m \quad \mapsto \quad -(-)^n - (-)^m \end{aligned}$$

The data points in (a)–(c) are summarized by the following table.

	F	F ^L	F ^R	F ^{L•R}
x_a	⊥			⊤
x_b	⊥			⊥
x_c	⊥			⊥

We can reason backwards about F^L and F^R to get a contradiction.

Sour Grapes is not Weakly Deterministic

Because the truth value associated with F is flipped for x_a , either F^L or F^R must have flipped it.

$$(a) \quad +(-)^n - (-)^m \quad \mapsto \quad +(+)^n + (+)^m$$

$$(b) \quad +(-)^n - (-)^m \boxminus \quad \mapsto \quad +(-)^n - (-)^m \boxminus$$

F^L only uses predecessor and therefore cannot distinguish between the environments in (a) and (b).

Thus, F^R must have flipped the truth value.

	F	F^L	F^R	$F^{L \bullet R}$
x_a	\perp		\top	\top
x_b	\perp			\perp
x_c	\perp			\perp

Sour Grapes is not Weakly Deterministic

F^R only uses successor and cannot distinguish between the environments in (a) and (c).

$$(a) \quad +(-)^n - (-)^m \quad \mapsto \quad +(+)^n + (+)^m$$

$$(c) \quad -(-)^n - (-)^m \quad \mapsto \quad -(-)^n - (-)^m$$

If it flips the truth value for x_a then it must also flip it for x_c .

	F		F^L		F^R		$F^{L \bullet R}$
x_a	⊥				T		T
x_b	⊥						⊥
x_c	⊥				T		⊥

Now we have a contradiction.

The Big Picture

- Phonological patterns are subregular.
- We have empirical motivation a Weakly Deterministic class of functions that describes the range of phonological expressivity.
- Simultaneous application in BMRS gives us a logical characterization of this class.

Why BMRS?

- Definition of weakly deterministic functions without reference to composition.
- Principled way to reason about what functions are outside of the weakly deterministic boundary.
- Describe the logical structure of phonological patterns.

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